Brian D. Ogonowsky

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top Amendment Commissioner for Patents P. O. Box 1450

Alexandria, VA 22313-1450

November 28, 2005

Re:

Applicant(s):

Regina B. Mueller-Mach, Gerd O. Mueller

Assignee:

Lumileds Lighting, U.S., LLC

Title:

Phosphor for Phosphor-Converted Semiconductor Light Emitting Device

Serial No.:

10/829,140 /

Examiner:

Canning, Anthony J.

Filed: April 21, 2004

Docket No.:

Group Art Unit: 2879

LUM-03-01-02

Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

- (1) Return Receipt Postcard;
- (2) This Transmittal Letter;
- (3) Information Disclosure Statement (1 page);
- (4) Forms PTO/SB/08A and PTO/SB/08B citing 4 references;
- (5) Two (2) cited references;
- (6) Copies of the cited US Patents documents are not enclosed as the requirement under 37 CFR 1.98 (a)(2)(i) is waived.

\boxtimes	No additional fee is required.
	The fee has been calculated as shown below:

Please charge our Deposit Account No. 502226 in the amount of	\$	0.00
Conditional Petition for Extension of Time: If an extension of time is required for timely filing of the enclosed document(s) after all papers filed with this transmittal have been considered, an extension of time is hereby requested.	7	
The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 502226.		
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I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Mail Stop Amendments, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Respectfully submitted,

Rachel V. Leiterman

Attorney for Applicant(s)

Reg. No. 46,868



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Regina B. Mueller-Mach, Gerd O. Mueller

Assignee:

Lumileds Lighting, U.S., LLC

Title:

Phosphor for Phosphor-Converted Semiconductor Light Emitting

Device

Serial No.:

10/829,140

Filing Date:

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Examiner:

Canning, Anthony J.

Group Art Unit:

2879

Docket No.:

LUM-03-01-02

San Jose, California November 28, 2005

Mail Stop Amendment Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c) - NO FEE WITH CERTIFICATION UNDER 37 CFR § 1.97(e)(1)

Dear Sir:

Pursuant to 37 C.F.R. § § 1.56, 1.97 and 1.98, Applicants wish to call the documents listed on the enclosed forms PTO/SB/08A and PTO/SB/08B to the Examiner's attention for the above-identified patent application. Copies of the foreign documents are enclosed.

Copies of the cited US Patents documents are not enclosed as the requirement under 37 CFR 1.98 (a)(2)(i) is waived. The documents were first cited by in a corresponding European Application No. 05103068.2 on October 20, 2005.

Citation of the above documents shall not be construed as:

- 1. an admission that the documents are necessarily prior art with respect to the instant invention;
 - 2. a representation that a search has been made, other than as described above; or

Patent Law Group LLP 2635 N. First St. Suite 223 San Jose, Ca 95134 (408) 382-0480 Fax (408) 382-0481 3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

The undersigned hereby certifies in accordance with § 1.97(e)(1) that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Mail Stop Amendments, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Attorney for Applicant(s)

Date

Respectfully submitted,

Rachel V. Leiterman Attorney for Applicants Reg. No. 46,868

Patent Law Group LLP 2635 N. First St. Suite 223 San Jose, Ca 95134 (408) 382-0480 Fax (408) 382-0481 Under the Under

Sheet

PTO/SB/08A (08-03)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known **Application Number** 10/829,140 Filing Date April 21, 2004 First Named Inventor Regina B. Mueller-Mach Art Unit 2879 **Examiner Name** Canning, Anthony J. Attorney Docket Number LUM-03-01-02

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code			
/JW/		^{US-} 6,069,440	5-30-2000	Shimizu et al.	
		US-			
		US-			
		US-			
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		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	te Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ "Number ⁴ "Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	₽
/JW/ 		WO 2004/079790 A2	9-16-2004	Sarnoff Corporation		
/JW/		WO 2004/084261 A2	9-30-2004	Philips Intellectual		
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Examiner	Date	i "
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



PTO/SB/08B (08-03)
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ction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449/PTO **Application Number** 10/829,140 INFORMATION DISCLOSURE **Filing Date** April 21, 2004 STATEMENT BY APPLICANT First Named Inventor Regina B. Mueller-Mach Art Unit 2879 (Use as many sheets as necessary) **Examiner Name** Canning, Anthony J. Attorney Docket Number Sheet 2 LUM-03-01-02 of 2

•		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Examiner Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of		
/JW/		European Search Report, 3 pages. 10/20/2005 (published)	

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: